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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/699,228	10/28/2000	Suhail Nanji	004906.P008

Daniel M DeVos  
Blakely Sokoloff Taylor & Zafman LLP  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025



## FORMALITIES LETTER



\*OC000000005647270\*

Date Mailed: 01/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/06/2001 RZEMDIE 00000044 09699228  
01 FC:105 130.00 OP

Sector \$

Attorney's Docket No.: 4906P008

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

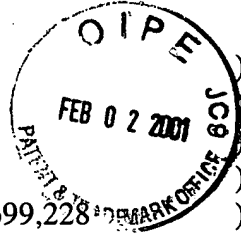
In re Application of:

Suhail Nanji, et al.

Application No.: 09/699,228

Filed: October 27, 2000

For: Tunnel Interworking



Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATED GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed January 1, 2001 please find enclosed:

1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application.

2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16 (e).


3) a copy of the Notice to File Missing Parts of Application;

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/30/01

  
\_\_\_\_\_  
Daniel M. DeVos  
Reg. No. 37,813

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Date of Deposit

Jane Wolfe  
Name of Person Mailing Correspondence

Jane Wolfe  
Signature

1-30-01  
Date



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Jane Wolfe  
Name of Person Mailing Correspondence

Jane Wolfe  
Signature

1-30-01  
Date

Serial/Patent No.: 09/699,228

Filing/Issue Date: October 27, 2000

Client: Redback Networks, Inc.

Title: TUNNEL INTERWORKING

BSTZ File No.: 4906.P008

Atty/Secty Initials: DMD/jw/lr

Date Mailed: 1/30/01

Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

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| <input type="checkbox"/> Amendment/Response (____ pgs.)  | <input type="checkbox"/> Express Mail No.: _____                                     | <input checked="" type="checkbox"/> Check No. <u>40516</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                              | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: <u>\$40.00</u>  |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)            | <input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.)     | <input checked="" type="checkbox"/> Check No. <u>40518</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                   | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: <u>\$130.00</u>                                       |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                     | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                            | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                                      | <input checked="" type="checkbox"/> Postcard   |  |
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| <input type="checkbox"/> Application - Provisional (____ pgs.)                                 | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet                                 | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                     | <input checked="" type="checkbox"/> Response to Notice of Missing Parts              |  |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>4</u> pgs.)                         | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Electronic Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                            |  |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                    | <input type="checkbox"/> Fee Transmittal, in duplicate                               |  |

Other: Request to Correct an Error in the Filing Receipt, a copy of the Express Mail Receipt, a copy of the official Filing Receipt; Copy of Notice to File Missing Parts (Filing date Granted)

Attorney Docket No.: 4906.P007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Suhail Nanji, et al.

Application No.: 09/699,228

Filed: October 27, 2000

For: TUNNEL INTERWORKING



Examiner: Not Yet Assigned

Art Group: 2122

Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

For the above-referenced patent application, the filing date listed on the official Filing Receipt is :

10/28/2000

The correct date is :

10/27/2000

FIRST CLASS CERTIFICATE OF MAILING

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on

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Date of Deposit

Jane Wolfe  
Name of Person Mailing Correspondence

Jane Wolfe  
Signature

1-30-01  
Date


Please have the Filing Receipt changed to reflect the correct filing date. Enclosed is a copy of the incorrect Filing Receipt with the change noted thereon.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: \_\_\_\_\_

1/30/01

  
\_\_\_\_\_  
Daniel M. DeVos  
Reg. No. 37,813

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408) 720-8300



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/699,228	10/28/2000	2122	1272	004906.P008	6	29	8

Daniel M DeVos  
Blakely Sokoloff Taylor & Zafman LLP  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025

**RECEIVED**  
JAN 08 2001

## FILING RECEIPT



\*OC000000005647269\*

**BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN**  
LOS ANGELES

Date Mailed: 01/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Suhail Nanji, San Jose, CA ;  
William Palter, Santa Cruz, CA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 12/30/2000

## Title

Tunnel interworking

## Preliminary Class

706

**ENTERED**  
JAN 08 2001  
STATUS DB-LA

Data entry by : BRITTON, PAULA

Team : OIPE

Date: 01/01/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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Attorney Docket No.: 004906.P008


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